

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****( Not for submission under 37 CFR 1.99)**

Application Number		10712456
Filing Date		2006-11-13
First Named Inventor	HAMANN et al.	
Art Unit	1624	
Examiner Name	Venkataraman Balasubramanian	
Attorney Docket Number	1073.134A	

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	3239345		1966-03-08	HODGE et al.	
	2	3948933		1976-04-06	LEPETIT SPA	
	3	4036979		1977-07-19	ASATO	
	4	4411890		1983-10-25	MOMANY et al.	
	5	4959361		1990-09-25	WALSER et al.	
	6	5179080		2003-01-12	ROTHKOPF et al.	
	7	5403817		1995-04-04	SEKINGER and KUHNEN	
	8	5482921		2006-01-09	SECKINGER et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

9	5488064		1996-01-30	SHER et al.	
10	5491134		1996-02-13	SHER et al.	
11	5541204		1996-07-30	SHER et al.	
12	5605877		1997-02-25	SCHAFER et al.	
13	5612359		1993-03-18	MERUGESAN et al.	
14	5770615		1998-06-23	CHENG et al.	
15	5776983		1998-07-07	WASHBURN et al.	
16	6011029		2000-01-04	DING et al.	
17	6040321		2000-03-21	KIM et al.	
18	6043265		2000-03-28	MERUGUSAN et al.	
19	6184231		2001-02-06	HEWAWASAM et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

20	6310095		2001-10-30	SEBTI et al.	
21	6365615		2002-04-02	KELLY et al.	
22	6566367		2003-05-20	BAKTHAVATCHALAM et al.	
23	6670386		2003-12-30	SUN et al.	
24	6710064		2004-03-23	LAUNAY et al.	
25	6897225		2005-05-24	SIRCAR et al.	
26	7256208		2007-08-14	BI et al.	
27	6794823		2005-12-13	HAMILTON et al.	
28	5556909		1996-09-17	DESAI	
29	5811374		1988-09-22	BERTMAN et al.	
30	6992102		2006-01-31	GABRIEL, Tobias et al.	

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						<input type="button" value="Remove"/>
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20040019063		2004-01-29	SUN et al.	
	2	20050059652		2005-03-17	HAMANN et al.	
	3	20040181064		2004-09-16	SUN et al.	
	4	20050182105		2005-08-15	NIRSCHL et al.	
	5	20050187267		2005-08-25	HAMANN et al.	
	6	20050197367		2005-09-08	LI et al.	
	7	20070004717		2007-01-14	LI et al.	
	8	20050197359		2005-09-08	NIRSCHL et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS	<input type="button" value="Remove"/>
--------------------------	---------------------------------------

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	A-82875/87	AU		1998-06-23	HOECHST, A.G.		<input type="checkbox"/>
	2	2126187	DE		1971-05-26	KUWABARA et al.		<input type="checkbox"/>
	3	3809390	DE		1989-09-28	SHERING A.G.		<input type="checkbox"/>
	4	0272594	EP		1988-06-29	HOECHST A.G.		<input type="checkbox"/>
	5	0493323	EP		1992-07-01	SANDOZ LTD.		<input type="checkbox"/>
	6	1004583	EP		2000-05-31	PFIZER PROD INC.		<input type="checkbox"/>
	7	WO0107052	WO		2002-02-01	BOEHRINGER INGELHEIM PHARMA		<input type="checkbox"/>
	8	1503244	GB		1978-03-08	MITSUBISHI CHEM IND		<input type="checkbox"/>
	9	52083686	JP		1977-07-12	MITSUBISHI CHEM IND		<input type="checkbox"/>
	10	WO8907110	WO		1989-08-10	EASTMAN KODAK CO.		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

11	WO8907111	WO		1989-08-10	EASTMAN KODAK CO.		<input type="checkbox"/>
12	WO9304081	WO		1993-03-04	UNIV TULANE		<input type="checkbox"/>
13	WO94005668	WO		2004-03-17	DEGUSSA		<input type="checkbox"/>
14	WO9414817	WO		1994-07-07	DUPONT		<input type="checkbox"/>
15	WO9719086	WO		1997-05-29	BIOTECHNOLOG FORSCHUNG GMBH		<input type="checkbox"/>
16	WO9721993	WO		1997-06-19	UNIV OF CALIFORNIA		<input type="checkbox"/>
17	WO9730992	WO		1997-08-28	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>
18	WO9822461	WO		1998-05-28	BIOTECHNOLOG FORSCHUNG GMBH		<input type="checkbox"/>
19	WO9825929	WO		1998-06-18	CIBA GEIGY AG.		<input type="checkbox"/>
20	WO9838192	WO		1998-09-03	BIOTECHNOLOG FORSCHUNG GMBH		<input type="checkbox"/>
21	WO9854966	WO		1998-12-10	MERCK & CO INC.		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

22	WO9900353	WO		1999-01-07	KAROBIO AB.		<input type="checkbox"/>
23	WO9901124	WO		1999-01-14	SLOAN KETTERING INST CANCER		<input type="checkbox"/>
24	WO9902224	WO		1999-01-21	LEWIS ROBERT D.		<input type="checkbox"/>
25	WO9902514	WO		1999-01-21	SQUIBB BRISTOL MYER CO.		<input type="checkbox"/>
26	WO9903848	WO		1999-01-28	SCHERING AG		<input type="checkbox"/>
27	WO9907692	WO		1999-02-18	SCHERING AG		<input type="checkbox"/>
28	WO9924416	WO		1999-05-20	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>
29	WO9927890	WO		1999-06-10	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>
30	WO9928324	WO		1999-06-10	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>
31	WO9943653	WO		1999-09-02	SLOAN KETTERING INST CANCER		<input type="checkbox"/>
32	WO9954318	WO		1999-10-28	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

33	WO9954319	WO		1999-10-28	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>
34	WO9954330	WO		1999-10-28	SQUIBB BRISTOL MYERS CO.		<input type="checkbox"/>
35	WO9965913	WO		1999-12-23	BIOTECHNOLOG FORSCHUNG GMBH		<input type="checkbox"/>
36	WO9967252	WO		1999-12-29	NOVARTIS AG		<input type="checkbox"/>
37	WO9967253	WO		1999-12-29	NOVARTIS AG		<input type="checkbox"/>
38	WO0000485	WO		2000-01-13	SCHERING AG		<input type="checkbox"/>
39	WO0001389	WO		2000-01-13	BRISTOL MYERS SQUIBB CO.		<input type="checkbox"/>
40	WO0013508	WO		2000-03-16	ISHIHARA SANGYO KAISHA		<input type="checkbox"/>
41	WO0116108	WO		2001-03-08	LIGAND PHARM INC		<input type="checkbox"/>
42	WO0072845	WO		2000-12-17	SMITHKLINE BEECHAM CORP		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

Add

**NON-PATENT LITERATURE DOCUMENTS**

Remove



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10712456
Filing Date	2006-11-13
First Named Inventor	HAMANN et al.
Art Unit	1624
Examiner Name	Venkataraman Balasubramanian
Attorney Docket Number	1073.134A

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.